I. HMGP Overview
II. Application Review Process
III. Post Obligation Information
IV. Common Errors
Hazard Mitigation Grant Program (HMGP)

Activities that will reduce or eliminate future impacts from all disaster types

- Implemented only after a federally declared disaster
- Amount = 7.5% of recovery costs to FEMA
- For State, Local Governments and select private non-profit (PNP)
- Cost sharing program-no state funding
IV. HMGP OVERVIEW

- Regulations
- Roles and Responsibilities
- Eligibility
Regulations

- Title 44 Code of Federal Regulations (44 CFR)
- Office of Management and Budget (OMB) Circulars
Regulations

44 CFR

• Part 206 – Federal Disaster Assistance for disasters declared on or after November 23, 1989
  – Subpart N Hazard Mitigation Grant Program
    (206.430 to 206.440)

• Part 13 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments
44 CFR (cont.)

- Part 9 - Floodplain Management and Protection of Wetlands
- Part 10 – Environmental Considerations
- Part 11 – Claims
- Part 14 – Administration of Grants: Audits of States and Local Governments
Regulations

OMB Circulars
- OMB A-21: Cost Principles for Educational Institution
- OMB A-87: Cost Principles for State, Local, and Indian Tribal Governments
- OMB A-94: Economic Principles and Methods to determine the cost-effectiveness of funded projects
- OMB A-102: Grants and Cooperative Agreement with State and Local Governments
Regulations

OMB Circulars (cont.)
- OMB A-110: Uniform Administrative Requirements for Grants and Agreements with Institution of Higher Education, Hospitals, etc.
- OMB A-122: Nonprofit Organization
- OMB A-128: Audits of States and Local Governments
Roles and Responsibility

- Applicants/Subgrantees
- Grantee (OES)
- Grantor (FEMA)
Roles and Responsibility
(Applicant/Subgrantees)

• Develop eligible projects
  - Scope of work, work schedule, and budget
• Complete the project as approved
Roles and Responsibility
(Applicant/Subgrantees)

• Submit progress reports on quarterly basis
• Notify OES of changes to the project and seek approval prior to change
• Notification of project completion
• Maintain records for possible audit
Roles and Responsibility
(Grantee)

OES
- Establish priorities
- Solicit projects
- Conduct screening of applications/NOI
- Prioritize projects
- Recommend projects for FEMA approval
- Perform benefit/cost analysis
Roles and Responsibility (Grantee)

- Monitor projects
- Submit progress reports on quarterly basis
- Review requests for changes to the project
- Request close out of the grant
Roles and Responsibility (Grantor)

(FEMA)

- Set the funding limit
- Approve or deny applications
- Monitor grantee
- Approve requests for changes to project
- Approve closeout of grant
- Close the program
Eligibility

- Applicant
- Planning
- Project Criteria
- Activities
- Matching Funds
Eligibility

Applicant
- State and local governments
- Select private non-profit (PNP)
- Indian tribes
Eligibility

Planning

• For disasters after November 1, 2004, all subgrants must have an approved local mitigation plan to receive HMGP funding
Eligibility

Project Criteria
• Must conform with State and local mitigation plans
• Must conform with Floodplain Management and Protection of Wetlands (44 CFR Part 9) and Environmental Considerations (44 CFR Part 10)
• Solve a problem Independently
• Be cost-effective and substantially reduce future damage
Eligibility

Project Criteria (Part 9)

• Is the proposed project in a wetland or floodplain?
• Will the proposed project impact a wetland or floodplain?
• National Flood Insurance Program (NFIP)
• Flood Insurance Rate Maps (FIRM)
• Conditional Letter of Map Revision/ Letter of Map Revision (CLOMR/ LOMR)
Eligibility

Project Criteria (Part 10)
• Environmental
• Historical
• Archaeological
• Cultural
Eligibility

Project Criteria (Part 10) - Environmental

- Project is subject to all federal, state, and local environmental laws
  - National Environmental Policy Act (NEPA)
  - California Environmental Quality Act (CEQA)

- NEPA review categories
  - Statutory Exclusion
  - Categorical Exclusion (CATEX)
  - Environmental Assessment (EA)
  - Environmental Impact Statement (EIS)
Eligibility

Project Criteria (Part 10)- Historical

- Designed to insure that historic properties are considered during Federal project planning and execution
- Building 50 years old or older
- Will the proposed project change characteristics of the historic property?
- Does the project area contain any prehistoric, historic, archeological or cultural resources?
Eligibility

Project Criteria Cost-effective

- Benefit must be equal to or greater than the project cost (BCA>1)
- Preformed by the FEMA-approved BCA models
  - Very Limited Data Module
  - Limited Data Module
  - Full Data Module
  - Earthquake Module
  - Safe Room Module
Eligibility

Activities

- Planning
- Projects
- Support Activities
Eligibility

Project Types
- Acquisition/Elevation/Relocation
- Planning
- Education
- Codes & Standards
- Warning Systems
- Watershed Management
- Vegetation Management
- Structural/ Non-Structural Retrofit
Eligibility

Matching Funds

- 25% of the cost of the activity
- Non-Federal Sources
- Certification
- Present when funds obligated
- Soft Match ok
Packaging of Programs

- HMGP funds may be used in combination with other Federal authorities to develop a comprehensive mitigation solution
- HMGP funds cannot be used as a match for other Federal funds
HMGP Priorities for DR-1505

- Projects
  - Seismic Mitigation Projects
  - Flood Mitigation Projects
HMGP Priorities

- Planning (limited funding)
  - Multi-jurisdictional local multi-hazard mitigation plans
  - Local multi-hazard mitigation plans
HMGP Priorities (cont.)

• Supporting Measures (Limited funding)
  - Fire and flood protection codes and standards
  - Mitigation education programs
  - Hazard Identification and analysis to support mitigation plans
Keys to Success

Clear Descriptions of Eligible, Priority Activities in terms of

- Time
- Scope
- Money
IV. Application Review Process

- Notice of Interest (NOI)
- HMGP Application
Notice of Interest (NOI)

- Applicant submittal of NOI
- Electronic Form: oes.ca.gov
  - See Hazard Mitigation Forms
- Initial Screening of NOI by OES
- Response within 48 hours during work week
HMGP Application

- Applicant submittal of HMGP application
- OES Recommends an eligible projects
- OES Forwards project to FEMA for review and approval of funding
- FEMA Forwards approved (obligated) project to OES for disbursement
- FEMA can deny a project recommended by OES
- An eligible applicant may appeal FEMA’s determination
HMGP Application

Remember:

Requests for advances must be included in the application. OES will not consider requests for advances after the grant has been approved by FEMA and the funds obligated.
Approval, Funding, Payments

- Notification by letter from OES
- FEMA may notify Congressional first
- Funds are paid as reimbursements to costs.
- OES will withhold 10% of grant
- Payment can be suspended
V. Post Obligation

- Implementation
- Closeout
Implementation

- Request reimbursement for eligible incurred project costs
- Manage project
- Submit project’s progress reports on quarterly basis
- Notify OES, in writing, when there are changes in the status of the project
  - Scope of work
  - Work schedule
  - Budget
Closeout

- Notify OES upon completion of the project
- Submit complete closeout documents
  a. Final Claim Form
  b. Project Accomplishments
  c. Project Budget
  d. Inspection Reports (if applicable)
  e. Planned Maintenance Activities Report (if applicable)
  f. Project Photographs/Materials (if applicable)
Closeout

- Maintain auditable records
- Organize Records by project
VI. Common Errors

- Submittal of Ineligible Projects
- Incomplete Project Description
- Failure to Identify Matching Fund
- Missed Deadlines
- Incomplete Forms
- Not Considering Historic/Environmental Impacts
- Verbal agreements
- Lack of Auditable Documentation
- Lack of Single Point of Contact
Contact

Sacramento Office
John Rowden
State Hazard Mitigation Officer
P.O. Box 419023
Rancho Cordova, CA
95741-9023
(916) 845-8150
Any Questions?